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이학박사학위논문

**FOXO1 suppression–induced  
positive crosstalk between HER2  
and MET and its effect on acquired  
lapatinib resistance in HER2-  
positive gastric cancer cells**

FOXO1의 불활성에 의해 유도되는 HER2와 MET의  
positive crosstalk가 HER2 과발현 위암세포의  
lapatinib에 대한 획득내성에 미치는 영향

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박 진 주

## **Abstract**

# **FOXO1 suppression–induced positive crosstalk between HER2 and MET and its effect on acquired lapatinib resistance in HER2-positive gastric cancer cells**

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**Background:** Lapatinib is a candidate drug for treatment of trastuzumab-resistant, HER2-positive gastric cancer (GC). Unfortunately, lapatinib resistance renders this drug ineffective. The present study investigated the implication of forkhead box protein O1 (FOXO1) signaling in the acquired lapatinib resistance in HER2-positive GC cells.

**Methods:** Lapatinib-resistant GC cell lines (SNU-216 LR2-8) were generated *in vitro* by chronic exposure of lapatinib-sensitive, HER2-positive SNU-216 cells to lapatinib. SNU-216 LR cells with FOXO1 overexpression were generated by stable transfection of a constitutively active FOXO1 mutant (FOXO1A3). HER2 and MET in SNU-216 LR cells were downregulated using RNA interference. The sensitivity of GC cells to lapatinib and/or cisplatin was determined by crystal violet assay. In addition, Western blot analysis, luciferase reporter assay and RT-PCR were performed.

**Results:** SNU-216 LR cells showed upregulations of HER2 and MET, but downregulation of FOXO1 compared to parental SNU-216 cells. FOXO1 overexpression in SNU-216 LR cells significantly suppressed resistance to lapatinib and/or cisplatin. In addition, FOXO1 negatively controlled HER2 and MET at the transcriptional level and was negatively controlled by these molecules at the post-transcriptional level. A positive crosstalk was shown between HER2 and MET, each of which increased resistance to lapatinib and/or cisplatin.

**Conclusions:** FOXO1 serves as an important linker between HER2 and

MET signaling pathways through negative crosstalks, and is a key regulator of the acquired lapatinib resistance in HER2-positive GC cells. These findings provide a rationale for establishing a novel treatment strategy to overcome lapatinib resistance in a subtype of GC patients.

**Keywords:** gastric cancer; HER2; lapatinib resistance; FOXO1; MET

**Student number:** 2013-31168

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## List of Abbreviations

DMSO: dimethyl sulfoxide

EMT: epithelial-mesenchymal transition

FBS: fetal bovine serum

FHRE: forkhead responsive element

FOXO1: forkhead box O1

GC: gastric cancer

HER: human epidermal growth factor receptor

HER2: human epidermal growth factor receptor 2

HGF: hepatocyte growth factor

MET: mesenchymal-epithelial transition factor protein

pAKT: AKT phosphorylated at Ser473

pHER2: HER2 phosphorylated at Tyr1221/1222

pMET: MET phosphorylated at Tyr1234/1235

PBS: phosphate-buffered saline

RTK: receptor tyrosine kinase

RT-PCR : reverse transcription-polymerase chain reaction

s.d.: standard deviation

SDS: sodium dodecyl sulfate

shRNA: short hairpin RNA



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## Introduction

Gastric cancer (GC) has been reported to be the fourth most common cancer and the second leading cause of cancer-related death worldwide [1]. GC is frequently diagnose at an advanced, incurable stage due to its asymptomatic feature at its early stage [2]. For these patients, systemic chemotherapy is the mainstay of treatment [3]. Although combination chemotherapy resulted in substantially improved overall survival compared with single-agent chemotherapy, the prognosis of advanced GC remains poor [4]. Furthermore, chemotherapy agents target cells that divide rapidly and they are unable to discriminate between rapidly dividing normal cells and cancer cells, leading to undesirable toxicities [5]. Thus, molecular targeted therapy has attracted large attention to improve the specificity of targeting cancer cells and significantly reduce non-selective resistance and toxicity.

Human epidermal growth factor receptor 2 (HER2/ErbB2/neu) is a 185-kDa transmembrane receptor tyrosine kinase (RTK) and a member of the human epidermal growth factor receptor (HER) family [6]. The HER family is made up of four members: HER1, HER2, HER3 and HER4. HER proteins exists as monomer on the cell surface. Upon ligands binding to

their extracellular domains, HER proteins undergo dimerization and transphosphorylation leading to initiation of signal transduction cascade that affects cancer cell biology in several ways including by cell proliferation, apoptosis, adhesion, migration, and differentiation [7]. Since HER2 is unique HER receptor with an ectodomain that maintains an extended structure similar to the ligand-bound HER ectodomain, HER2 is a potent activator of intracellular signaling upon heterodimer formation with other ligand-bound HER proteins [8]. Moreover, HER2-containing heterodimers generate intracellular signals that are significantly stronger than signals emanating from other HER combinations [9]. In normal cells, few HER2 molecules exist at the cell surface, so few heterodimers are formed and growth signals are relatively weak and controllable. When HER2 is overexpressed multiple HER2 heterodimers are formed and cell signaling is stronger, resulting in enhanced responsiveness to growth factors and malignant growth [9]. This explains why HER2 overexpression is an indicator of poor prognosis in various cancers [10] and may be predictive of response to treatment.

Regarding GC, HER2 serves as an important therapeutic target for therapy in HER2-positive metastatic GC since its overexpression is found in more than 15% of GC and is associated with poor prognosis, particularly in the advanced stages of disease [11]. A large scale phase III international

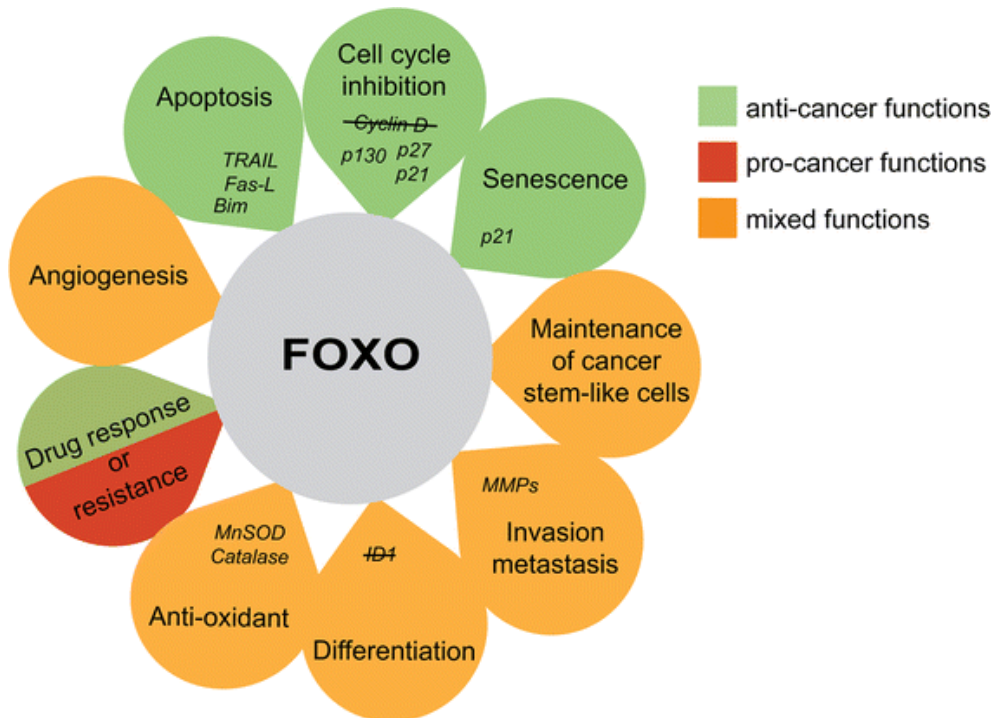
clinical trial called ToGA showed that the humanized monoclonal antibody against HER2, Trastuzumab (Herceptin, Genentech), when combined with chemotherapy, could effectively prolong overall survival and progression-free survival, and increases the response rate in HER2 positive advanced GC [11]. On the basis of these findings, trastuzumab combined with standard chemotherapy has been used as first-line treatment for patients with HER2-positive advanced GC [12]. However, intrinsic and/or acquired resistance to trastuzumab became a major obstacle in anti-HER2 therapy for advanced GC [11]. Thus, there is a need for alternatives to block HER2 signaling in GC.

Lapatinib (Tykerb, GlaxoSmithKline, Ware, United Kingdom) is an oral dual tyrosine kinase inhibitor, which simultaneously curbs the phosphorylation of HER1 and HER2, thus interrupting the HER1/HER2-associated downstream signaling cascades [13]. A combination and capecitabine is used for metastatic HER2-positive breast cancer that is refractory to trastuzumab [14]. With regard to GC, a preclinical study demonstrated the anti-proliferative effects of lapatinib [15]. However, several clinical trial found no benefit from lapatinib for HER2-positive GC patients [16-18]. The unsatisfactory results of the lapatinib clinical trials suggest the presence of drug resistance mechanisms or alternative pathways of escape from lapatinib treatment. Therefore, it is important to

know in advance which pathways could mediate resistance to the lapatinib treatment and to find ways of bypassing these obstacles [19].

Mesenchymal-epithelial transition factor protein (MET), the hepatocyte growth factor (HGF) receptor, is a 190-kDa RTK, and plays a critical role in tumor growth, invasion and metastasis. MET is frequently overexpressed and activated in a subset of GC [20]. Previously it has been shown that co-expression of MET and HER2 in GC is associated with poorer survival compared to overexpression of either one [21]. Moreover, MET overexpression occurred more frequently in HER2-positive GCs than in HER2-negative GCs [22]. Growing evidences implicate the interplays between HER family receptors and MET in cancer cells through overlapping downstream signaling pathways [19]. In vitro cell culture experiments showed that HGF-induced MET activation was responsible for lapatinib resistance in HER2-positive GC cell lines [23, 24]. In addition, GC cells derived from HER2-positive and MET-positive GC showed that the combination of lapatinib and MET-inhibitor offered a more profound cell growth inhibition than lapatinib alone [22]. Despite the strong evidence regarding the interplay between MET and HER2 in GC, the current understanding of the regulation of MET expression and activation in relation to lapatinib-resistance in HER2-positive cells requires additional research.

The forkhead box O (FOXO) family of transcription factors is an evolutionally conserved subfamily of forkhead transcription factor and consist of FOXO1, FOXO3, FOXO4 and FOXO6 [25]. The first three are ubiquitously expressed, at different levels depending on the tissue [26, 27]. On the contrary, FOXO6 is expressed only in the central nervous system [28]. The expression and activity of FOXO factors are strongly controlled by post-translational modifications such as phosphorylation, acetylation, methylation and ubiquitination [29]. A major mechanism of regulation of FOXOs consists of phosphorylation by AKT, leading to FOXO inactivation [30]. In cancer cells, FOXOs control diverse cellular functions by regulating the expression of many genes [31] (Figure 1).



**Figure 1. FOXO functions in cancer.**

FOXOs are involved in diverse physiological processes, such as cell cycle arrest, apoptosis, and oncogene-induced senescence, which prevent tumor development and contribute to cancer cell killing by various drugs (green). By contrast, FOXOs also play pro-tumoral roles, in the resistance to certain treatments, for instance (red). Ambiguous functions of FOXOs have been described in angiogenesis, oxidative stress resistance, differentiation, cancer stem cell maintenance and the control of cell invasion and metastasis (orange). Key target genes are indicated in smaller letters. Repressed genes are crossed out [31].

Since FOXO1 is one of the mammalian FOXOs, which is involved in variety of biological process [32], dysregulation of FOXO1 would subsequently result in various disease states such as cancer. FOXO1 inactivation has been documented in several cancers, including GC [33], and its association with several anti-cancer drugs has increasingly attracted oncologists' attention [34-36]. In addition, FOXO1 involved in resistance to anti-HER2 drugs, trastuzumab [37, 38]. FOXO1 overexpression by stable transfection reduced the resistance of trastuzumab in trastuzumab-resistant, HER2- positive breast cancer cells [37]. Another study performed in HER2- positive breast cancer cells sensitive to trastuzumab showed inhibition of survivin gene transcription by direct interaction of FOXO1 with survivin promoter [38]. Thus, FOXO1 may also regulate lapatinib resistance in HER2-positive cancer cells.

Regarding GC, the existence of a negative crosstalk between FOXO1 and HER2 in parental GC cell lines was previously reported [39]. This crosstalk was associated with cancer cell growth, epithelial-mesenchymal transition (EMT), cell migration and invasion *in vitro* as well as tumorigenicity and metastasis *in vivo* [39]. In addition, the inactivation of FOXO1 was associated with a good prognosis as well as specific clinicopathological factors [40]. FOXO1 decreased GC angiogenesis [40, 41]. In contrast, FOXO1 enhanced cisplatin resistance in GC cells by



activating phosphoinositide 3-kinase (PI3K)/AKT pathway [36]. However, the relationship between FOXO1 and anti-HER2 drug resistance in GC has not been reported. In the present study, lapatinib-resistant GC cell lines (SNU-216 LR 2-8) were generated by chronic exposure to lapatinib and the potential role of FOXO1 in lapatinib resistance was examined. In addition, I silenced MET and HER2 expression and investigated its implication in the lapatinib resistance in the lapatinib-resistant, HER2-positive GC cells.

## Materials and Methods

### Cell culture

A HER2-positive GC cell line SNU-216 was purchased from the Korean Cell Line Bank (Seoul, Korea). Cells were maintained in RPMI 1640 medium (Life Technologies, Grand Island, NY, USA) containing 10% fetal bovine serum (FBS; BioWest, Kansas City, MO, USA) in a humidified atmosphere containing 5% CO<sub>2</sub> at 37°C.

### Reagents and antibodies

Lapatinib was purchased from Cell Signaling Technology (Beverly, MA, USA), and cisplatin (CDDP) was purchased from Sigma (St. Louis, MO, USA). Antibodies against phospho-HER2<sup>Tyr1221/1222</sup> (pHER2, rabbit monoclonal), HER2 (rabbit monoclonal), phospho-MET<sup>Tyr1234/1235</sup> (pMET, rabbit monoclonal), phospho-AKT<sup>Ser473</sup> (pAKT, rabbit polyclonal), AKT (rabbit polyclonal), and FOXO1 (rabbit monoclonal) were purchased from Cell Signaling Technology. Antibodies against MET (rabbit polyclonal),  $\beta$ -actin (mouse monoclonal) and secondary antibodies, which are horseradish peroxidase-conjugated anti-rabbit IgG or anti-mouse IgG, were purchased from Santa Cruz Biotechnology (Santa Cruz, CA, USA).

## **Generation of lapatinib-resistant clones SNU-216 LR from SNU-216 cells**

SNU-216 cells were cultured in the presence of increasing concentrations of lapatinib over a period of 8 months, reaching a final concentration of 10  $\mu\text{mol/L}$  at the end of this period as described previously [42]. Single-cell clonal populations were obtained from a pool of resistant cells by serial dilutions. Cells were expanded in RPMI 1640 medium containing 10% FBS and lapatinib (1  $\mu\text{mol/L}$ ).

## **Growth inhibition assays**

The viability of cells was measured indirectly using crystal violet assay as described by Kim *et al.* [43]. Cells were seeded in 24-well plates at a density of  $1 \times 10^4$  cells/well for cell growth and cultured for 4 days. To study the cytotoxicity effect of drugs,  $1.5 \times 10^4$  cells were seeded in 24-well plates, incubated for 24 hours, and treated for 3 days at 37°C with indicated concentrations of drugs dissolved in 0.04% dimethyl sulfoxide (DMSO). Control columns contained cells without drug and blank columns contained medium alone. Medium was aspirated from the wells followed by washing three times with tap water. Attached cells were stained with 0.2% crystal violet aqueous solution in 20% methanol for 10 minutes followed by washing four times and were air dried. Crystal violet stain was dissolved in 10% sodium dodecyl sulfate (SDS) for 10 minutes at room temperature,

transferred into 96-well plates, and the absorbance was measured at 570 nm using an enzyme-linked immunosorbent assay reader (Bio-Rad, Hercules, CA, USA). The reading of blank columns was subtracted from each value.

### **Western blot analysis**

Western blot analysis was performed as described previously [39]. Proteins were obtained when cells were subconfluent (70-80%). Briefly, cell lysates in SDS lysis buffer (125 mM Tris-HCl (pH 6.8), 4% SDS, 0.004% bromophenol blue, and 20% glycerol) were separated on 10% SDS-polyacrylamide gel and electrophoretically transferred to PVDF membranes (Millipore Corporation, Billerica, MA, USA) blocked with 5% non-fat dry milk in phosphate-buffered saline (PBS)-Tween-20 (0.1%, vol/vol) for 1 hour. The membranes were then incubated with a primary antibody against pHER2 (1:1000), HER2 (1:1000), pMET (1:1000), MET (1:1000), pAKT (1:1000), AKT (1:1000), FOXO1 (1:1000), or  $\beta$ -actin (1:1000). Horseradish peroxidase-conjugated anti-rabbit IgG (1:2000) or anti-mouse IgG (1:2000) was used as a secondary antibody. Enhanced chemiluminescence (Amersham, Arlington Heights, IL, USA) was used to detect the immunoreactive proteins. Equal protein loading was confirmed by  $\beta$ -actin.

### **Transfection of FHRE-luciferase construct and luciferase reporter**

## **assay**

To determine FOXO1 nuclear DNA-binding activity in GC cells, luciferase reporter assay was performed as previously described [36]. GC cells were seeded in 24-well plates at a density of  $3 \times 10^4$  cells/well and were transiently cotransfected with 0.4  $\mu$ g forkhead responsive element (FHRE)-luciferase reporter plasmid (reporter construct in which a small region of the Fas ligand promoter contains the three FHREs, Addgene plasmid 1789, Addgene Incorp, Cambridge, MA, USA) and 0.4 mg pSV- $\beta$ -galactosidase vector (Promega, Madison, WI, USA), an internal control, using Lipofectamine Plus (Life Technologies). Twenty-four hours after transfection, assays for luciferase and  $\beta$ -galactosidase were carried out using a Dual-Luciferase Reporter Assay System (Promega). Luciferase activity was measured on an AutoLumat LB 9505c luminometer (Berthold Analytical Instruments, Nashua, Germany) and was normalised by  $\beta$ -galactosidase activity.

## **Overexpressing FOXO1 in SNU-216 LR cells**

Overexpressing FOXO1 was done by stable transfection of pcDNA3 containing human FOXO1A3 mutant gene (Addgene plasmid 13508, Addgene Incorp). The plasmid FOXO1A3 encodes a constitutively active version of FOXO1 (all three AKT phosphorylation sites are mutated to Ala). Each vector (1  $\mu$ g) was transfected into GC cells using Lipofectamine Plus

according to the manufacturer's instructions. Twenty-four hours after transfection, G418 (3 µg/mL) was added to select stable FOXO1A3 clones.

### **Lentivirus-mediated short hairpin RNA (shRNA) silencing of HER2**

Lentiviral particles containing non-targeting shRNA or HER2 shRNA were purchased (Sigma). The sequence of HER2 shRNA was 5'-CCGGTGTCTAGTATCCAGGCTTTGTACTCGAGTACAAAGCCTGGATACTGACATTTTTG-3'. The control shRNA particles contain 4 bp mismatches within the short hairpin sequence to any known human or mouse gene. Viral infection was performed by incubating GC cells in the culture medium containing lentiviral particles for 12 hours in the presence of 5 µg/mL Polybrene (Santa Cruz Biotechnology). Pooled puromycin (2 µg/mL)-resistant cells were used for further analysis.

### **MET silencing by stable transfection with shRNA plasmid vector**

For MET silencing, the pGFP-V-RS plasmid vectors containing either scrambled shRNA or MET shRNA were purchased from OriGene (Rockville, MD, USA). The sequence of MET shRNA was 5'-GCAAGCCAGATTCTGCCGAACCAATGGAT-3'. Each vector (1 µg) was transfected into GC cells using Lipofectamine Plus according to the manufacturer's instructions. Twenty-four hours after transfection, puromycin (2 µg/mL) was added to

select stable MET shRNA clones.

### **Reverse transcription-polymerase chain reaction (RT-PCR)**

RT-PCR was performed to determine the mRNA level of molecules in GC cells, and the amplification of  $\beta$ -actin transcripts was used as the control to normalize the transcript levels of molecules. Total RNAs were isolated using TRIZOL reagent (Invitrogen, Carlsbad, CA, USA), and reverse-transcription was performed to synthesize cDNAs in a 20  $\mu$ l reaction mixture containing each gene-specific primer, 1  $\mu$ g of RNA, 2 $\times$ reaction buffer, 0.4  $\mu$ l Taq polymerase and 1.2 mM  $MgCl_2$ . The cDNAs of HER2 transcripts were amplified for 28 cycles (30 seconds at 94°C, 30 seconds at 52°C, and 30 seconds at 70°C), the cDNAs of MET transcripts were amplified for 30 cycles (30 seconds at 94°C, 30 seconds at 52°C, and 30 seconds at 72°C), the cDNAs of FOXO1 transcripts were amplified for 25 cycles (30 seconds at 94°C, 1 minute at 57°C, and 1 minute at 72°C), and the cDNAs of  $\beta$ -actin transcripts were amplified for 18 cycles (94°C for 30 seconds, 52°C for 30 seconds, and 70°C for 30 seconds). The PCR cycling numbers had been optimized to avoid the amplification saturation. Five  $\mu$ l RT-PCR product was separated on 1% agarose gels, which were subsequently stained with ethidium bromide. Primer sequences were 5'-GGGAGAGAGTTCTGAGG ATT-3' and 5'-CGTCCGTAGAAAGGTAGTTG-3' for HER2, 5'-TTGC CAGA GACATGTATGATAAAG-3' and 5'-CCAGCATTTTAGCATTACTT-3' for MET,

5'-GCAGATCTACGAGTGGATGGTC-3' and 5'-AAACTGTGATCCAGGGCTGTC-3' for FOXO1, and 5'-ACACCTTCTACAATGAGCTG-3' and 5'-CATGATGGAGTTGAAGGT AG-3' for  $\beta$ -actin.

### **Statistical analysis**

All experiments were performed using triplicate cultures, and the results were expressed as the mean  $\pm$  standard deviation (s.d.). Statistical analyses were conducted using GraphPad Prism software for Windows 7 (ver. 4, GraphPad Software, San Diego, CA, USA). Data were evaluated by two-tailed Student's *t*-test. Differences with a P-value < 0.05 were considered statistically significant.



## Results

### **Lapatinib-resistant, HER2-positive GC cells exhibit downregulation of FOXO1.**

To verify if FOXO1 is involved in the acquired lapatinib resistance in HER2-positive GC cells, stable lapatinib-resistant GC cell lines SNU-216 LR (LR2-LR8) were generated from lapatinib-sensitive parental SNU-216 cells. While parental SNU-216 cells treated with 10  $\mu\text{mol/L}$  lapatinib displayed an almost complete abrogation of growth, the resistant cell lines showed significantly lower cell viability reduction than parental cell line (Figure 2A).

Western blot analysis (Figure 2B) confirmed HER2 overexpression and low expression of FOXO1 in parental SNU-216 cells as previously reported [39]. In SNU-216 LR cells (except LR2) with the acquired lapatinib resistance, the expression and activation (manifested by phosphorylated forms) of HER2 and MET increased with a more distinctive upregulation of MET. Consistently, phosphorylated AKT (common downstream signaling protein of HER2 and MET), but not total AKT, increased in SNU-216 LR cells. In contrast, FOXO1 protein expression decreased in most of resistant cell lines (L3-L8). Downregulation of FOXO1-induced luciferase expression in all lapatinib-resistant cell lines was confirmed using the luciferase

reporter assay (Figure 2C). In addition, RT-PCR analysis showed that mRNA expressions of HER2 and MET were increased in SNU-216 LR cells. In contrast, FOXO1 mRNA expression was not changed (Figure 2B).

### **FOXO1 overexpression reduces resistance to lapatinib.**

To examine whether FOXO1 is related to the acquired lapatinib resistance in SNU-216 LR cells (LR3 and LR7), FOXO1 expression was modulated by transfection with a construct expressing constitutively active FOXO1 (FOXO1A3). Cells transfected with empty pcDNA3 vector were generated as control. Western blot analysis (Figure 3A) and the luciferase reporter assay (Figure 3B) confirmed that FOXO1 expression and transcriptional activity were increased in FOXO1A3-transfected cells compared to vector control cells. The role of FOXO1 in the acquired lapatinib resistance in HER2-positive GC cells was examined by comparing cell growth of SNU-216 LR cells with or without FOXO1 overexpression using crystal violet assay. Treatment of SNU-216 LR3 cells (Figure 3C) with lapatinib (1  $\mu\text{mol/L}$ ) for 72 hours decreased cell viability to ~ 60% in vector cells and ~ 40% in FOXO1A3-transfected cells compared to untreated cells. This result demonstrated a significant difference in the lapatinib cytotoxicity between vector control cells and FOXO1A3-transfected cells ( $P = 0.0099$ ). Similar results were shown in SNU-216 LR7 cells ( $P = 0.006$ , Figure 3C).

### **FOXO1 overexpression increases the cytotoxicity of cisplatin alone or combined with lapatinib**

I found that parental SNU-216 cells were cisplatin-sensitive, but SNU-216 LR cells were cisplatin-resistant (Figure 4A). Thus, SNU-216 LR cells with lapatinib resistance also developed cross-resistance to cisplatin. However, treatment of SNU-216 LR3 cells with cisplatin (10 µg/mL) in the presence of FOXO1 overexpression significantly decreased cell viability (52% versus the untreated control) compared with vector control cells (93% versus the untreated control) ( $P = 0.0157$ , Figure 4B). Similar results were shown in SNU-216 LR7 cells ( $P = 0.0075$ , Figure 4B).

Although lapatinib plus chemotherapy using parental SNU-216 cells showed an additive or synergistic effect *in vitro* [15], inconsistent results were shown in the second-line treatment of patients with HER2-positive GC [20]. In the present study, the effect of adding cisplatin to lapatinib in SNU-216 LR3 and LR7 cells in the absence or presence of FOXO1 overexpression was examined. In the presence of FOXO1 overexpression, the combined treatment with cisplatin and lapatinib showed an additive cytotoxic effect (26% versus the untreated control) in SNU-216 LR3 cells compared to treatment with lapatinib alone (44% versus the untreated control) ( $P = 0.0219$ ). However, there was no difference in cell viability in the absence of FOXO1 overexpression (Figure 4C). Consistent results

were shown in LR7 cells ( $P = 0.001$ , Figure 4C). Thus, FOXO1 induces the efficacy of adding cisplatin to lapatinib in lapatinib-resistant SNU-216 LR cells.

### **FOXO1 transcriptionally downregulates HER2 and MET.**

HER2 and MET are strong predictors of lapatinib sensitivity in GC cells [23, 24, 42]. However, the molecular mechanism underlying a link between HER2 and MET with respect to lapatinib resistance remains undefined. To analyze the relationship between FOXO1 and HER2/MET in SNU-216 LR cells, I increased the FOXO1 expression and activation by transfection of FOXO1A3 as shown in Figure 3A and 3B. In addition, Western blot analysis and RT-PCR were performed. Western blot analysis showed that the total and phosphorylated forms of HER2 and MET were downregulated by FOXO1 overexpression (Figure 5A). Furthermore, the protein expression of phosphorylated AKT, but not the total AKT, was decreased. Consistently, RT-PCR demonstrated that mRNA expressions of HER2 and MET were downregulated (Figure 5B). Taken together, FOXO1 negatively regulates HER2 and MET expressions at the transcriptional level, suggesting its involvement in the lapatinib-induced HER2/MET signaling pathway.

### **The acquired resistance to lapatinib and/or cisplatin is reversed by silencing HER2.**

Parental SNU-216 cells are responsive to lapatinib because of the presence of HER2 overexpression. In the present study, however, SNU-216 cells became resistant to lapatinib after chronic exposure to lapatinib in spite of HER2 upregulation (Figure 2A and 2B). To investigate whether the dependency of cell viability on HER2 expression persists in SNU-216 LR cells, HER2 was downregulated by RNAi (Figure 6A). I found that HER2 shRNA-transfected cells had a lower level of pAKT (Figure 6A) and showed growth inhibition (Figure 6B). HER2 downregulation also significantly suppressed lapatinib resistance (Figure 6C) and cisplatin resistance (Figure 6D) compared to control shRNA cells. Furthermore, the combined treatment of HER2 shRNA-transfected SNU-216 LR cells showed an additive cytotoxic effect compared to treatment with lapatinib alone (Figure 6E).

#### **The acquired resistance to lapatinib and/or cisplatin is reversed by silencing MET.**

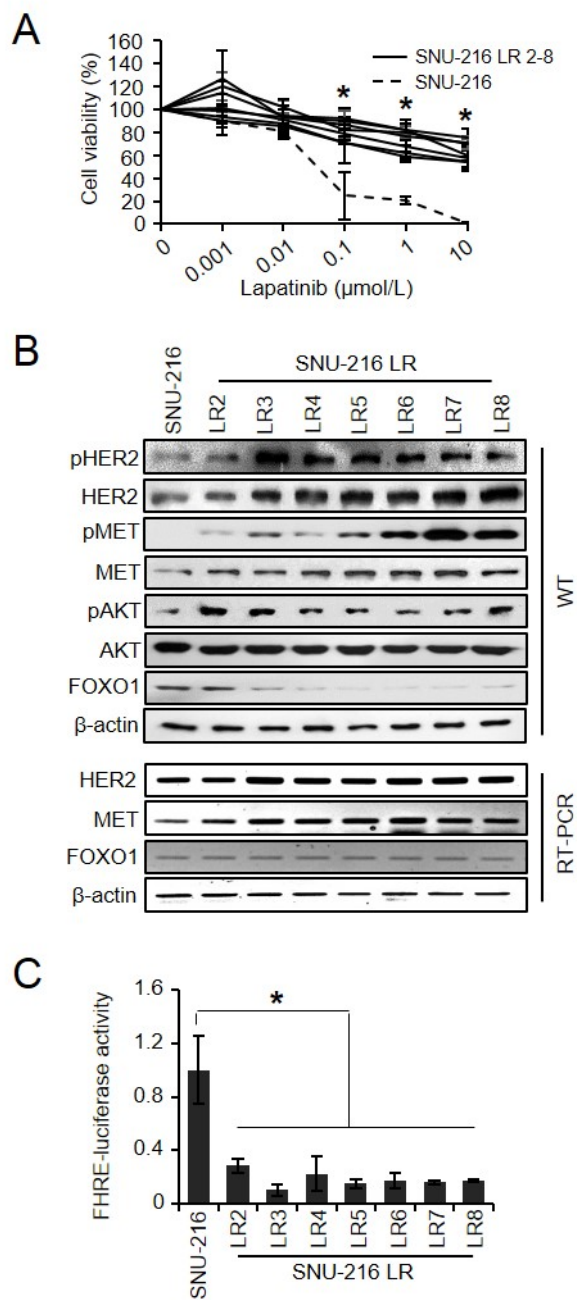
Parental SNU-216 cells showed a low level of MET expression, which notably increased in SNU-216 LR cells (Figure 2B). To investigate the role of MET in lapatinib resistance in SNU-216 LR cells, MET expression was downregulated by RNAi (Figure 7A). MET shRNA-transfected cells had a lower level of pAKT (Figure 7A) and also showed growth inhibition (Figure 7B). Furthermore, MET silencing significantly suppressed lapatinib

resistance (Figure 7C) and cisplatin resistance (Figure 7D) compared to control shRNA cells. In addition, combination treatment showed additional growth inhibition compared to treatment with lapatinib alone in MET shRNA-transfected cells, but not in control shRNA cells (Figure 7E).

### **HER2 and MET interplay through transcriptional control by FOXO1.**

To investigate whether interplay between HER2 and MET exists, stable SNU-216 LR3 and LR7 cell lines overexpressing either HER2 shRNA (Figure 8A) or MET shRNA (Figure 8D) were used. Western blot analysis showed that HER2 silencing decreased the protein expressions of total and phosphorylated MET (Figure 8A). In turn, MET silencing reduced the protein expressions of total and phosphorylated HER2 (Figure 8D). Thus, these findings indicate that there is a positive interplay between these two molecules. Then, the effect of HER2 downregulation on FOXO1 expression and activation was examined. Western blot analysis (Figure 8A) and luciferase reporter assay (Figure 8B) demonstrated that HER2 silencing increased protein expression and activation of FOXO1 without a change in FOXO1 mRNA expression as shown by RT-PCR (Figure 8C). Similar findings were observed in MET shRNA-transfected cells (Figure 8D-F). These results indicate that FOXO1 expression is negatively regulated at the post-transcriptional level by HER2 and MET.

**Figure 2.**

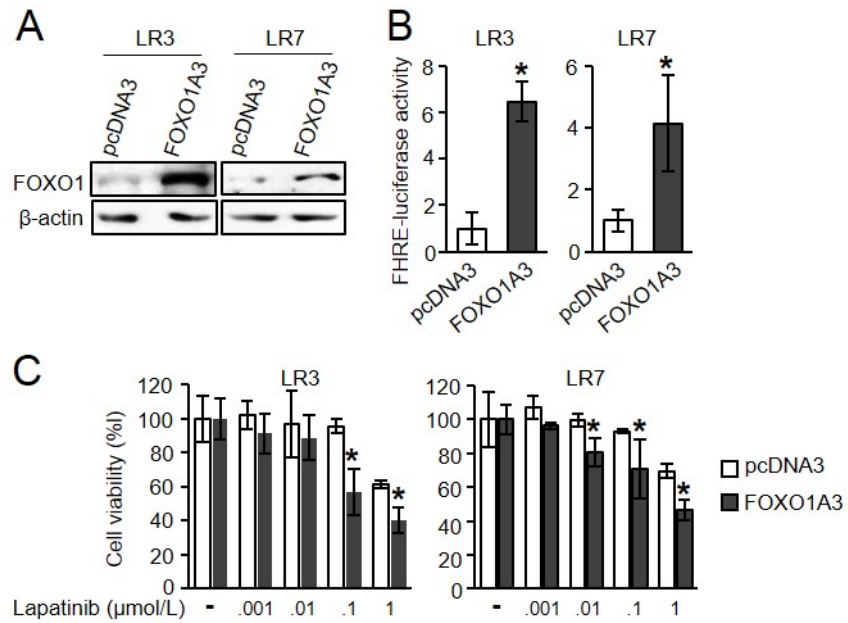


**Figure 2. Effect of chronic lapatinib treatment on SNU-216 cells.**

(A) Lapatinib-resistant (LR), HER2-positive GC cell lines (SNU-216 LR2-8) were generated from a lapatinib-sensitive, HER2-positive SNU-216 GC cell line by chronic exposure to lapatinib over a period of 8 months. Twenty-four hours after plating, parental and lapatinib-resistant SNU-216 cells were treated with the indicated concentrations of lapatinib for 3 days, and cell viability was determined using crystal violet assay. The percentage of viable cells is shown relative to untreated cells (considered as 100%). Each bar represents the mean  $\pm$  s.d. \*  $P < 0.05$  versus parental SNU-216 cells. (B) Comparative analysis of total and phosphorylated HER2, MET and AKT as well as total FOXO1 by Western blot analysis (WT). mRNA expressions of HER2, MET and FOXO1 were determined by reverse transcription-polymerase chain reaction (RT-PCR).  $\beta$ -actin protein and mRNA were served as loading controls. (C) FOXO1 transcriptional activity was determined by the luciferase reporter assay and was normalized by  $\beta$ -galactosidase activity. Luciferase activity in parental SNU-216 cells was arbitrarily set to 1. Each bar represents the mean  $\pm$  s.d. \*  $P < 0.05$  versus parental SNU-216 cells.



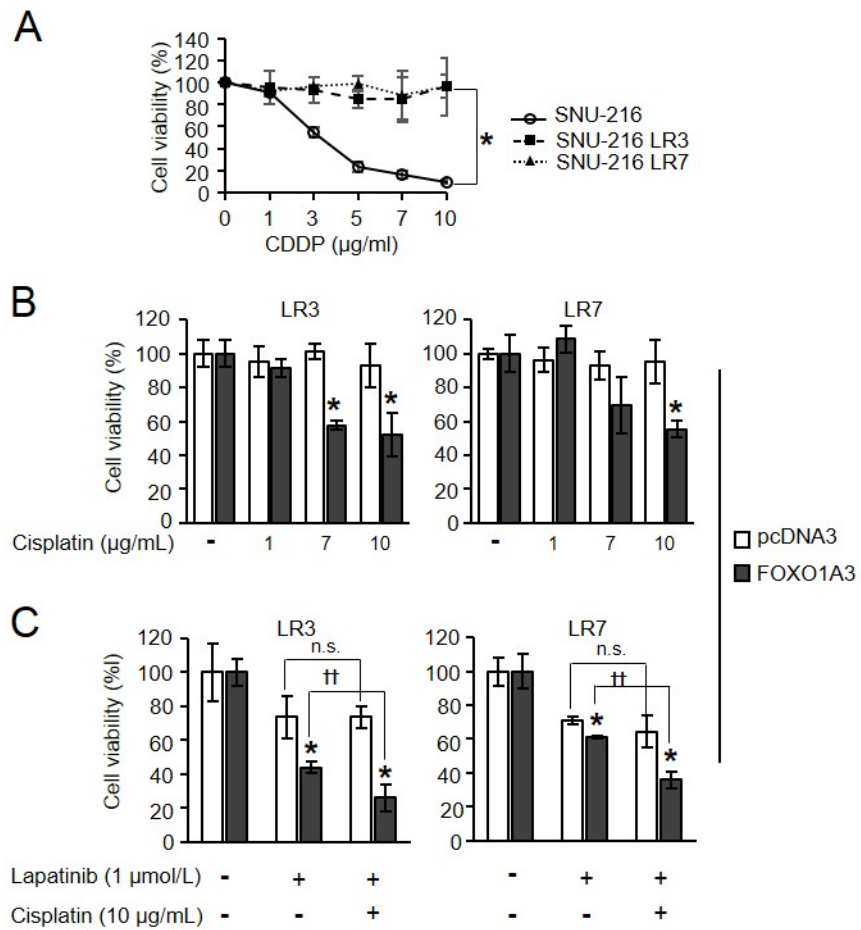
**Figure 3.**



**Figure 3. Effect of FOXO1 overexpression on lapatinib sensitivity in lapatinib-resistant cell lines.**

SNU-216 LR3 and LR7 cells were transfected with empty pcDNA3 vector (pcDNA3) or FOXO1A3 mutant vector (FOXO1A3). Cell viability was measured by crystal violet assay. **(A)** FOXO1 overexpression was confirmed by Western blot analysis. **(B)** FOXO1 transcriptional activity was analyzed by the luciferase reporter assay. Each bar represents the mean  $\pm$  s.d. \*  $P < 0.05$  versus pcDNA3 cells. **(C)** Cells were treated with the indicated concentrations of lapatinib, and cell viability was measured after 3 days. The percentage of viable cells is shown relative to untreated cells (considered as 100%). Each bar represents the mean  $\pm$  s.d. \*  $P < 0.05$  versus lapatinib-treated pcDNA3 cells.

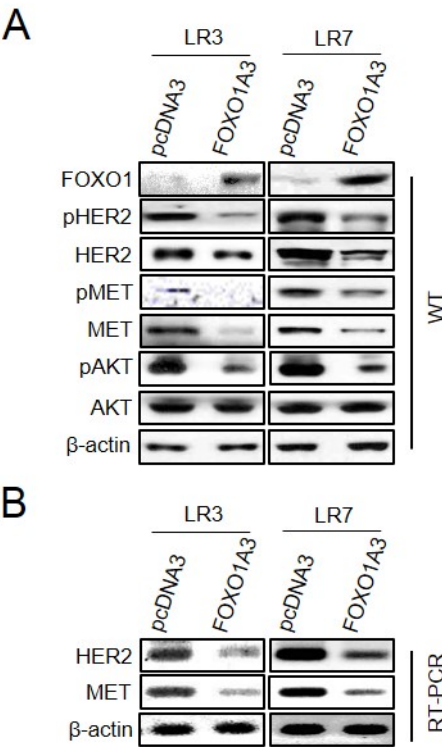
**Figure 4**



**Figure 4. Effect of FOXO1 on the cytotoxicity of cisplatin alone or combined with lapatinib in lapatinib-resistant cell lines.**

Cell viability was measured by crystal violet assay. **(A)** Parental SNU-216 cells and lapatinib-resistant SNU-216 cells (LR3 and LR7) were treated with cisplatin at the indicated concentration for 3 days. The percentage of viable cells is shown relative to untreated cells (considered as 100%). Each bar represents the mean  $\pm$  s.d. \*P < 0.05 versus parental cells. **(B-C)** SNU-216 LR3 and LR7 cells were transfected with empty pcDNA3 vector (pcDNA3) or FOXO1A3 mutant vector (FOXO1A3). **(B)** Cells were treated with the indicated concentrations of cisplatin, and cell viability was measured after 3 days. The percentage of viable cells is shown relative to untreated cells (considered as 100%). Each bar represents the mean  $\pm$  s.d. \* P < 0.05 versus cisplatin-treated pcDNA3 cells. **(C)** Cells were treated with the 1  $\mu$ mol/L lapatinib alone or combined with 10  $\mu$ g/mL cisplatin, and cell viability was measured after 3 days. The percentage of viable cells is shown relative to untreated cells (considered as 100%). Each bar represents the mean  $\pm$  s.d. \* P < 0.05 versus pcDNA3 cells. †† P < 0.05 versus lapatinib-treated FOXO1A3 cells. n.s., non-significant.

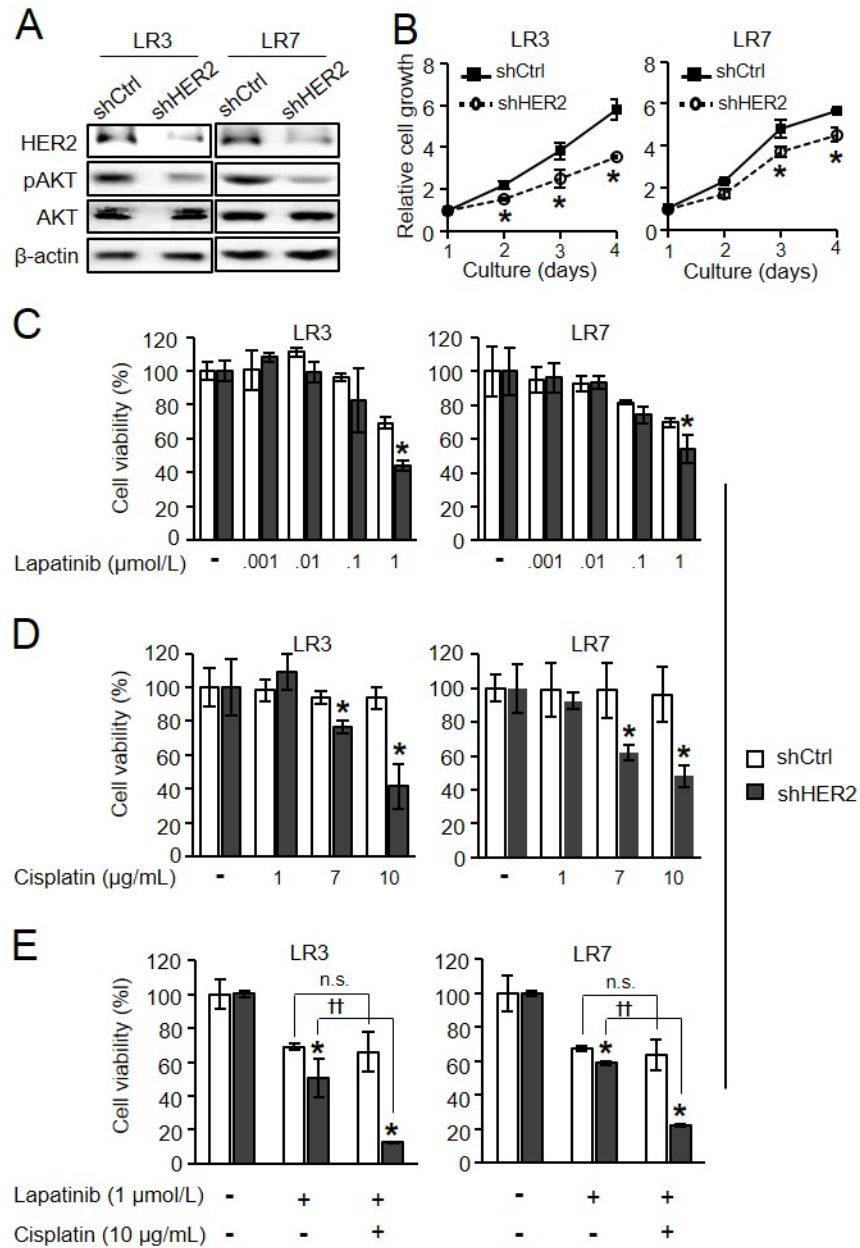
Figure 5.



**Figure 5. Association between FOXO1 and HER2/MET in lapatinib-resistant cell lines.**

SNU-216 LR3 and LR7 cells were transfected with empty pcDNA3 vector (pcDNA3) or FOXO1A3 mutant vector (FOXO1A3). **(A)** The protein expressions of total and phosphorylated HER2, MET and AKT were determined by Western blot analysis (WT). **(B)** The mRNA expressions of HER2 and MET were evaluated by reverse transcription-polymerase chain reaction (RT-PCR).

**Figure 6.**

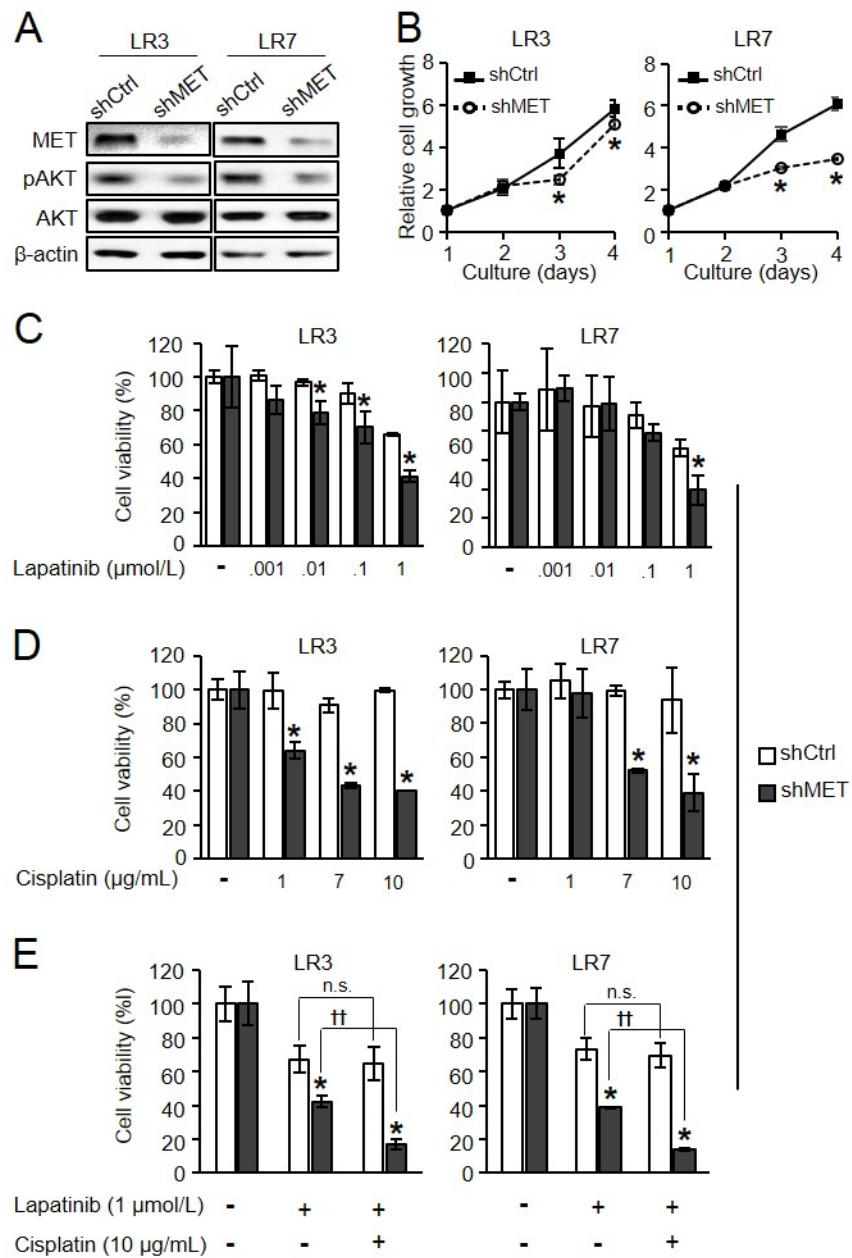


**Figure 6. Effect of HER2 downregulation on lapatinib/cisplatin resistance in lapatinib-resistant cell lines.**

SNU-216 LR3 and LR7 cells were infected with a lentivirus containing either control shRNA (shCtrl) or HER2 shRNA (shHER2). Cell viability was measured by crystal violet assay. **(A)** The protein expressions of HER2, pAKT and AKT were determined by Western blot analysis. **(B)** Twenty-four hours after plating, cells were cultured for 3 days and cell growth was determined at the indicated times. Each bar represents the mean  $\pm$  s.d. \*  $P < 0.05$  versus shCtrl cells. **(C)** Cells were treated with the indicated concentrations of lapatinib, and cell viability was measured after 3 days. The percentage of viable cells is shown relative to untreated cells (considered as 100%). Each bar represents the mean  $\pm$  s.d. \*  $P < 0.05$  versus lapatinib-treated shCtrl cells. **(D)** Cells were treated with the indicated concentrations of cisplatin, and cell viability was measured after 3 days. The percentage of viable cells is shown relative to untreated cells (considered as 100%). Each bar represents the mean  $\pm$  s.d. \*  $P < 0.05$  versus cisplatin-treated shCtrl cells. **(E)** Cells were treated with the 1  $\mu$ mol/L lapatinib alone or combined with 10  $\mu$ g/mL cisplatin, and cell viability was measured after 3 days. The percentage of viable cells is shown relative to untreated cells (considered as 100%). Each bar represents the mean  $\pm$  s.d. \*  $P < 0.05$  versus shCtrl cells. ††  $P < 0.05$  versus lapatinib-treated shHER2 cells. n.s., non-significant.



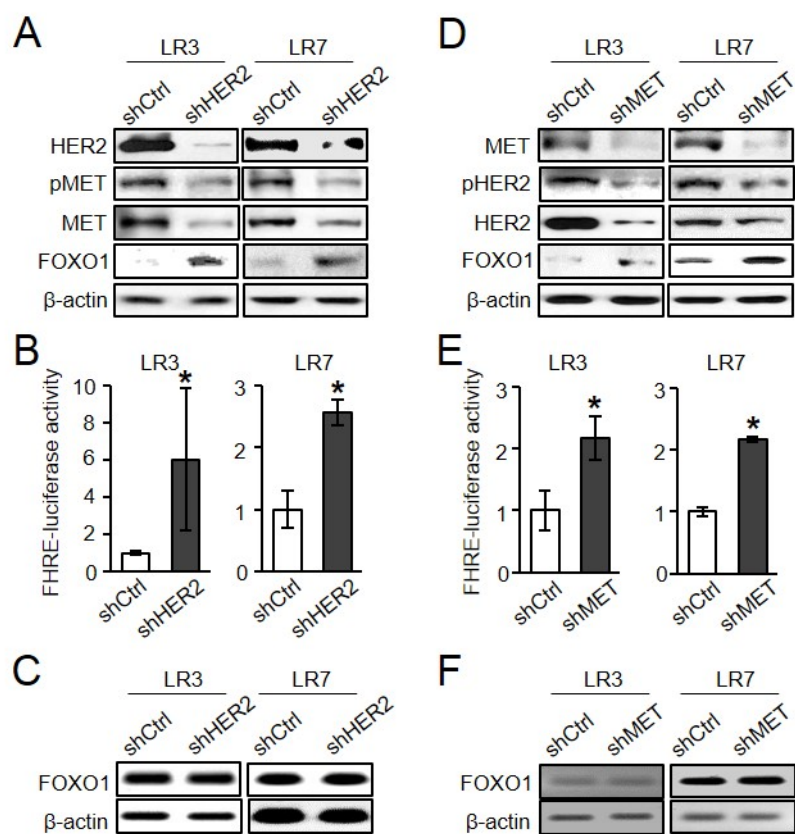
**Figure 7.**



**Figure 7. Effect of MET downregulation on lapatinib/cisplatin resistance in lapatinib-resistant cell lines.**

SNU-216 LR3 and LR7 cells were transfected with pGFP-v-RS vectors containing either control scrambled shRNA (shCtrl) or MET shRNA (shMET). Cell viability was measured by crystal violet assay. **(A)** The protein expressions of MET, pAKT and AKT were determined by Western blot analysis. **(B)** Cells were cultured for 3 days, and cell growth was determined at the indicated times. Each bar represents the mean  $\pm$  s.d. \*  $P < 0.05$  versus shCtrl cells. **(C)** Cells were treated with the indicated concentrations of lapatinib and cell viability was measured after 3 days. The percentage of viable cells is shown relative to untreated cells (considered as 100%). Each bar represents the mean  $\pm$  s.d. \*  $P < 0.05$  versus lapatinib-treated shCtrl cells. **(D)** Cells were treated with the indicated concentrations of cisplatin, and cell viability was measured after 3 days. The percentage of viable cells is shown relative to untreated cells (considered as 100%). Each bar represents the mean  $\pm$  s.d. \*  $P < 0.05$  versus cisplatin-treated shCtrl cells. **(E)** Cells were treated with the 1  $\mu$ mol/L lapatinib alone or combined with 10  $\mu$ g/mL cisplatin, and cell viability was measured after 3 days. The percentage of viable cells is shown relative to untreated cells (considered as 100%). Each bar represents the mean  $\pm$  s.d. \*  $P < 0.05$  versus shCtrl cells.  $\dagger\dagger P < 0.05$  versus lapatinib-treated shMET cells. n.s., non-significant.

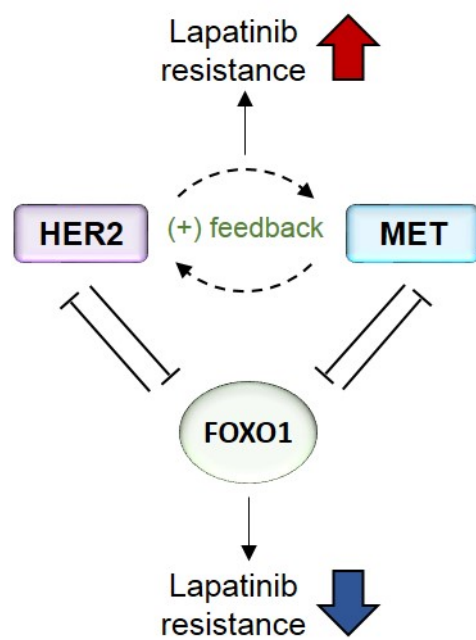
**Figure 8.**



**Figure 8. The relationships between HER2, MET and FOXO1 in lapatinib-resistant cells.**

(A-C) Cells were infected with a lentivirus containing either control shRNA (shCtrl) or HER2 shRNA (shHER2). (A) The expression and pMET, MET and FOXO1 protein expression were determined by Western blot analysis. (B) FOXO1 transcriptional activity was determined by the luciferase reporter assay. Each bar represents the mean  $\pm$  s.d. \*  $P < 0.05$  versus parental shCtrl cells. (C) The mRNA expression of FOXO1 was evaluated by reverse transcription-polymerase chain reaction (RT-PCR). (D-F) Cells were transfected with pGFP-v-RS vectors containing either control scrambled shRNA (shCtrl) or MET shRNA (shMET). (D) The expression and pHER2, HER2 and FOXO1 protein expression were determined by Western blot analysis. (E) FOXO1 transcriptional activity was determined by the luciferase reporter assay. Each bar represents the mean  $\pm$  s.d. \*  $P < 0.05$  versus parental shCtrl cells. (F) mRNA expression of FOXO1 was evaluated by RT-PCR.

**Figure 9.**



**Figure 9. Model for FOXO1-dependent acquired lapatinib resistance and the crosstalk among FOXO1, HER2 and MET in lapatinib-resistant, HER2-positive GC cells.**

Downregulation of FOXO1 leads to coactivation of HER2 and MET, which are essential to lapatinib resistance. Reintroduction of FOXO1 is necessary to reduce the lapatinib resistance in a subpopulation of HER2-positive GC patients showing lapatinib resistance.

## Discussion

The acquisition of drug resistance in treated patients has become a significant issue in the establishment of strategy for human cancer therapy. Moreover, the complex interplay of signal-transduction pathways further complicates customizing of cancer treatments which might target a single mechanism [21]. The purpose of the present study was to determine the correlation between FOXO1 expression profile and the sensitivity to lapatinib alone or in combination with cisplatin, thereby providing a new strategy for treating lapatinib-resistant, HER2-positive GC. Here, FOXO1 suppression was identified as a determinant of acquired lapatinib resistance in HER2-positive GC cells, at least in part, through negative crosstalks with HER2 and MET. To the best of my knowledge, this is the first study to demonstrate the involvement of FOXO1 in anti-HER2 drug resistance and its association with MET in GC cells.

Given that HER2 serves as a putative target for therapy in HER2-positive GC, elucidating the molecular mechanism of lapatinib resistance is critical to establish a more efficient treatment strategy for patients who failed to respond to adjuvant trastuzumab-based chemotherapy. However, the molecular mechanism underlying the unresponsiveness of GC to

lapatinib remains largely unexplained. Previously, lapatinib sensitivity was shown to be positively correlated to the degree of HER2 overexpression in various cancer cells [23]. Consistently, lapatinib responsiveness was shown in HER2-positive parental GC cell lines SNU-216 and NCI-N87 [15].

Although the initially addicting oncoprotein HER2 in parental SNU-216 cells is the target of lapatinib, SNU-216 LR cells were lapatinib-resistant in spite of HER2 upregulation. This suggests that the acquired lapatinib resistance in SNU-216 LR cells may be attributed to an alternative or redundant survival pathway [46]. Indeed, Kim *et al.* [42] suggested that MET upregulation could confer the acquired lapatinib resistance to lapatinib-sensitive, HER2-positive GC cells.

Previous studies have shown that FOXO1 plays an important role in the regulation of responsiveness of cancer cells to various anticancer drugs [34-36]. For example, FOXO1 increased paclitaxel resistance in ovarian cancer cells [34], adriamycin resistance in breast cancer cells [35] and cisplatin resistance in GC cells [36]. With respect to HER2-positive cancer cells, FOXO1 decreased trastuzumab resistance in HER2-positive breast cancer cells [37, 38]. However, different resistance mechanisms have been reported for trastuzumab and lapatinib [47], and lapatinib sensitivity in cultured cells is determined by tissue type [48]. In the present study, FOXO1 activation was downregulated in SNU-216 LR cells compared to



parental SNU-216 cells. Thus, the present study hypothesized that FOXO1 is implicated in the acquired lapatinib resistance in these cells in association with HER2 and MET. Taking advantage of lapatinib-resistant, HER2-positive SNU-216 LR cell lines, I confirmed that lapatinib alone showed weak growth inhibitory effect toward SNU-216 LR cells. Further, FOXO1 overexpression in these cells induced an enhanced cytotoxic effect of lapatinib. These results demonstrate the importance of FOXO1 for the lapatinib-mediated cytotoxic effect in SNU-216 LR cells.

The efficacy of lapatinib alone or in combination with standard chemotherapy for HER2-positive GC is yet to be improved. In the preclinical cell-based study using HER2-positive GC cell lines (SNU-216 and NCI-N87), lapatinib plus chemotherapy showed an additive or synergistic effect [15]. In contrast, a randomized, open-labeled, phase III study (Tytan study) showed that second-line treatment of HER2-positive advanced GC patients with lapatinib plus chemotherapy did not significantly improve overall survival compared to chemotherapy alone [44]. In the present study, the combined treatment with lapatinib and cisplatin did not induce a significant difference in cell viability of SNU-216 LR cells compared to lapatinib treatment, which is consistent with results of the clinical trial. In the presence of FOXO1 overexpression, however, combined treatment resulted in a greater reduction in cell viability compared to

treatment with lapatinib alone. These results provides a direct evidence that FOXO1 suppression confers acquired resistance to lapatinib and/or cisplatin in lapatinib-resistant, HER2-positive GC cells. Since FOXO1 involvement in lapatinib resistance has not been previously identified in HER2-positive cancers, this is a novel molecular mechanism underlying acquired lapatinib resistance in HER2-positive GC. These findings provide a basis for the proposal that systemic reintroduction of FOXO1 in HER2-positive GC patients could result in a selective lapatinib toxicity in cancer cells. In the near future, it should be possible to generate an enhanced anti-cancer effect via a combination of lapatinib and FOXO1-replacement therapy. However, the technique of transcription factor-replacement therapy is not yet in general use.

The phenomenon of oncogene addiction has revealed potentially important therapeutic opportunities that can lead to the selective elimination of tumor cells showing dependence on a protein or pathway [46]. Just as acute inactivation of addicting oncoproteins frequently leads to cancer cell death, recent evidence points to similar outcomes induced by the reintroduction of a wild-type version of tumor suppressor genes that are frequently inactivated in cancer cells [46]. Accumulating data on the “addiction to lack of tumor suppressor genes” indicate that, in the establishment of the oncogene addicted state, a prerequisite may involve

the removal of support systems such as tumor suppressors (p53, FHIT, PTEN, LKB1 and TESTIN) [46]. In the present study using SNU-216 LR cells, upregulations of oncogenes HER2 and MET as well as downregulation of tumor suppressor FOXO1 were observed. In addition, FOXO1 overexpression reduced mRNA expressions of HER2 and MET. Accordingly, it seems that chronic exposure to lapatinib induced FOXO1 downregulation, and consequently upregulated of HER2 and MET through transcriptional control. Taken together, I speculate that FOXO1 inactivation may be a prerequisite in the establishment of the addiction to HER2 as well as MET in SNU-216 LR cells.

Although lapatinib responsiveness is associated with HER2 overexpression in parental GC cell lines [15], HER2 was upregulated in lapatinib-resistant, HER2-positive GC cell lines in the present study. However, the effect of HER2 modulation on the acquired lapatinib resistance in these cells has never been evaluated. This study investigated whether the loss of HER2 addiction or addiction switching to an alternative oncogene [46] is induced by chronic exposure to lapatinib. HER2 expression in SNU-216 LR cells was downregulated by using RNA interference, which resulted in AKT inactivation and cell growth suppression. These results indicate that HER2 addiction still remains. Inconsistently, HER2 downregulation significantly suppressed resistance to an anti-HER2

drug lapatinib. In addition, cisplatin resistance was decreased in HER2-silenced SNU-216 LR cells, which is consistent with a previous report [49].

MET has been shown to cross-react with EGFR proteins and possibly substitutes for their activity, thus conferring resistance to EGFR-targeting drugs [50]. With respect to GC cells, HGF-induced MET activation in HER2-positive GC cell lines (SNU-216 and NCI-N87) induced lapatinib resistance [23]. In the present and previous [42] studies, chronic exposure to lapatinib upregulated MET compared to parental HER2-positive GC cells. However, the effect of MET modulation on the acquired lapatinib resistance in these cells has not been shown. This study examined whether cancer cells exhibit MET addiction in addition to original HER2 addiction. MET downregulation in SNU-216 LR cells decreased AKT activation and cell growth, which was similar to results obtained with HER2 downregulation. Consistently, MET downregulation significantly suppressed lapatinib and/or cisplatin resistance. Since both HER2 and MET contributed to lapatinib resistance in SNU-216 LR cells, it seems that MET provides redundant survival signals through the activation of downstream survival pathways that overlap with those of HER2. Thus, SNU-216 LR cells can be considered to be “co-addicted” to HER2 and MET.

The present study showed that MET expression and activation were

clearly lower than those of HER2 in parental SNU-216 cells. After acquisition of lapatinib resistance, MET was notably upregulated in the majority of SNU-216 LR cell lines compared to HER2. Previously, MET amplification was shown to be responsible for the MET overexpression induced by EGFR RTK inhibitor treatment of NSCLC patients who displayed acquired resistance [46]. However, Kim *et al.* [42] reported that there is no MET gene amplification in HER2-positive SNU-216 LR cells with acquired lapatinib resistance. Although concomitant overexpression of HER2 and MET was observed in a subset of GC patients [22], the regulatory relationship between these two molecules has not been demonstrated in GC. My results showed that HER2 downregulation in SNU-216 LR cells suppressed the expressions of both total and phosphorylated MET and vice versa. Thus, it seems that the interplay between these two molecules in the survival signaling pathway is not due to transphosphorylation, instead is due to the activations of overlapping downstream molecules. Since FOXO1 is negatively controlled by and controls HER2 and MET, FOXO1 seems to serve as an important linker between HER2 and MET signaling pathways via negative crosstalks. In addition, downregulation of either HER2 or MET increased FOXO1 protein expression and activation, but not mRNA expression, which indicates negative regulation at the post-transcriptional level.

In conclusion, the present study shows a novel molecular mechanism that could cause the acquired lapatinib resistance in a subset of HER2-positive GC cells. It seems that FOXO1 suppression is implicated in the acquisition of lapatinib resistance in HER2-positive GC cells through upregulation of MET as well as HER2. Thus, this should be taken into consideration when designing combination therapies for a subset of lapatinib-resistant, HER2-positive GC.

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## 국 문 초 록

**목적:** Lapatinib은 human epidermal growth factor receptor 2 (HER2)의 과발현을 보이는 암환자의 치료에 사용되는 표적치료제로서, trastuzumab 내성을 나타내는 경우에 사용이 시도되고 있다. 그러나 위암의 경우에는 내성으로 인하여 lapatinib의 효용성이 의심되고 있으나, 그 내성기전에 대한 연구는 현재로서 미진한 실정이다. 본 연구에서는 전사인자인 forkhead box O 1 (FOXO1)이 위암세포의 lapatinib 내성기전에 관여하는지를 관찰하고자 하였다.

**실험방법:** HER2 과발현 위암세포주 (SNU-216)로부터 7개의 lapatinib 내성세포주 (SNU-216 LR2-8)를 확립한 후, 그 중 2개의 세포주 (SNU-216 LR3과 SNU-216 LR7)에 유전자이입 방법을 사용하여 FOXO1과 HER2 그리고 MET의 발현 변화를 유도하였다. Lapatinib을 단독 또는 cisplatin과 병용하여 이 세포들에 투여한 후 crystal violet assay를 실시하여 세포의 항암제 내성을 측정하였고, Western blot analysis, luciferase reporter assay와 RT-PCR을 시행하였다.



**결과:** Lapatinib 내성세포주의 대부분 (SNU-216 LR3-8)에서 SNU-216에 비하여 HER2와 MET의 발현은 증가하였지만, FOXO1의 활성은 감소하였고 SNU-216 LR2 세포주의 경우 HER2의 발현증가는 뚜렷하게 관찰되지 않았다. FOXO1의 발현을 증가시킨 2개의 lapatinib 내성세포주에서는 lapatinib과 cisplatin에 대한 내성의 감소가 관찰되었으며, HER2와 MET의 전사가 감소되었다. HER2와 MET는 lapatinib 또는 cisplatin과의 병용 투여에 대한 내성을 증가시켰으며, 서로 positive crosstalk를 나타내었고, 공통적으로 FOXO1의 발현을 전사 후 단계에서 억제하였다.

**결론:** 본 연구는 FOXO1이 HER2 및 MET와의 상호적인 negative crosstalk를 통하여 lapatinib에 대한 획득 내성을 조절하는데 중추적인 역할을 수행함을 관찰하였다. 따라서, 이 결과는 HER2 과발현 위암환자 중 lapatinib 내성을 나타내는 환자에게 필요한 표적치료제의 개발에 유용한 정보를 제공할 것으로 사료된다.

**주요어:** 위암; HER2; lapatinib 내성; FOXO1; MET

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